Introduction

This qualitative research study involves the analysis of interviews from a small pool of physicians, in which we asked them about their use of clinical practice guidelines (CPGs), evolution, gaps in adherence of CPGs, and how patients may be affected by these practices. CPGs are evidence-based recommendations that are intended to optimize and standardize patient care based on the best evidence available. They are developed by reviewing and assessing clinical evidence in a specific therapeutic area. Physician experts are heavily involved in developing guidelines and offering recommendations based on the strength of evidence currently available. Research shows that adherence to CPGs varies, in differing levels, in all fields of medicine and public health. Furthermore, studies looking at sustainability of adherence, albeit a rather new term studied in healthcare, after an implementation plan showed partial sustainability of healthcare innovations rather than a complete sustainability.

How guideline adherence affects patients is still under investigation. This qualitative research study was designed to explore one small and rather introductory segment of adherence; and more precisely, how physicians from different medical specialties currently view and adopt CPGs as opposed to the time when they were medical residents. We aimed to understand how the evolution of CPGs from the 1990s to 2016 or earlier, had impacted physicians' views and adherence to practice guidelines. We also explored the barriers of CPG non-adherence. The goals of the study were twofold. First goal was to help with future studies in studying the most important barriers to adherence, quality of care to patients, and sustainable implementations plans of adherence to CPGs. Secondly, the goal was to provide insights into the social space of action-oriented recommendations that may improve the
utilization of and adherence to CPGs by physicians across different specialties.

**Problem statement and purpose**

Because we lack understanding of how current CPGs are meaningful to doctors in their professional workplace compared to their residency program, three research questions are as follows: (a) What do doctors want to have changed in the development of CPGs?, (b) Do doctors believe that current CPGs are useful in their practice or today’s clinical centers from the time they were residents?, and (c) How do doctors find current CPGs helpful in providing equal treatment to patients (i.e., quality of care to patients)?

We argue that what can be learned from interviewees’ situated accounts that are generated in the context of a researcher-interviewee relationship is only possible from qualitative research.4,6 This type of meaningful knowledge from participants’ direct social world as compared to quantitative research knowledge is both unique and critical in studying complex problem statements with implications in healthcare. Likewise, these implications can be further studied in all their complexity if the main problem is understood at a fine-grained level first.

**Sample, framework**

We interviewed ten physician participants. They all trained and were licensed in the United States (US). The participants involved were not restricted to one medical specialty; both former and currently practicing physicians participated. The periods in which they conducted their residencies were 10 to 20 years prior to these interviews (January 2016). The purposive sample and type of medicine were also based on availability to participate in the interviews irrespective of specialty.5,7 Currently, all participants are either still practicing medicine, and/or now work in the drug research and development industry after practicing medicine full time. All voluntary participants signed and dated an informed consent.

The approach of the study for the data collection and design is a modified phenomenological approach, following Moustakas.4 The participants were from different specialties of medicine. Five individual interviews and one collective focus-group interview of five different participants were conducted. The focus group interview and two individual interviews were conducted in person; the three other individual interviews were conducted by phone. As in Table 1, the medical specialties of the participants (n) were oncology (3), neurology (2), cardiology (1), infectious disease (1), pediatric rehabilitation (1), and internal medicine (2). Most participants resided in the East Coast region of the US, while the West Coast, Mid-West, and Southern regions of the US were represented by 1 participant each. For all 10 participants in this study, the duration of medical practice, including those not currently in practice, was at least 10 years, exclusive of the duration of their residency programs. To protect confidentiality, all participants are referenced by numbers. Three (1, 6, and 9) of the 10 participants are women; seven (2, 3, 4, 5, 7, 8, and 10) are men.

The individual and focus group interviews lasted approximately 30 to 45 minutes, with follow ups where needed, and 1 hour, respectively. Physicians were asked semi-structured questions designed in accordance to the purpose of the study.5,6,9 Types of questions followed the direction given by Merriam.9 We recorded and transcribed the interviews according to Merriam.9 We followed the guidelines for developing interview questions and writing transcripts as per Merriam.9

We also developed some of the interview questions in line with the theoretical framework drawn from literature on attitudes and potential barriers to the use of standardized treatment guidelines such as CPGs.10 We considered

<table>
<thead>
<tr>
<th>Participants*</th>
<th>Medical specialty</th>
<th>Current role°</th>
<th>Gender</th>
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<tbody>
<tr>
<td>1</td>
<td>Oncology/internal medicine</td>
<td>Pharmaceutical industry and In practice</td>
<td>F</td>
</tr>
<tr>
<td>2</td>
<td>Neurology</td>
<td>Pharmaceutical industry (for approximately last 4 years)</td>
<td>M</td>
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<tr>
<td>3</td>
<td>Oncology (nuclear medicine)/internal medicine</td>
<td>In practice</td>
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<td>4</td>
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<td>Pharmaceutical industry (for approximately last 7 years)</td>
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<tr>
<td>5</td>
<td>Oncology/internal medicine</td>
<td>In practice</td>
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<td>6</td>
<td>Internal medicine</td>
<td>Pharmaceutical industry (for approximately 4 years)</td>
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<td>7</td>
<td>Cardiology</td>
<td>In practice</td>
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<tr>
<td>8</td>
<td>Infectious disease</td>
<td>Pharmaceutical industry and In practice</td>
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<td>9</td>
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<td>In practice</td>
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<td>10</td>
<td>Neurology</td>
<td>Pharmaceutical industry (for approximately 5 years)</td>
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*Participants are referenced by numbers. **All participants completed a medical residency program in the US, and have had experience in practicing medicine full time before the current role assessed in 2016.
the barriers stemming from the physician’s knowledge of
CPGs, attitudes towards CPGs, resource limitations and
their behavioral response to guidelines. Furthermore, to
determine themes present in the participants’ behavior and
attitudes we analyzed the field notes, gathered during the
interviews, together with the transcripts of the focus group
and individual interviews to determine themes.\textsuperscript{5,7,9,11} We
used descriptive and \textit{in vivo} manual coding via two recur-

sive cycles as described by Saldana.\textsuperscript{11}

### Results

Three themes emerged from the interview data set and
field notes: a) Awareness of CPGs, b) Minor gaps in adher-
ence of CPGs and consequences, and c) Moving forward:
good adherence to and acceptance of CPGs (Figure 1).
While not all will be discussed fully in this paper due to
space limitations they are described next individually. Ex-
cerpts from the interviews conducted will be presented as
well to support these themes.

**Awareness of clinical practice guidelines theme**

In this theme, found through coding the data, the study
participants shared that they were either not aware of
CPGs as medical residents, or that CPGs did not exist dur-
dering their residency; the latter was more prevalent. This
theme had three subthemes: (a) CPGs evolved since the
1990s and early 2000s; (b) variability in practicing med-
icine was more prevalent and more accepted in the 1990s
and early 2000s than currently; and (c) CPGs have be-
come a resource for physicians and provide education
with the option to retain flexibility. These subthemes will
not be discussed individually in this paper but referred to
in the discussion. During the 10-20 years between our in-
terviewees’ participation in residency programs and their
current roles, there was an evolution in the development
of CPGs. The participants indicated that there was much
more variability in practicing medicine in the past and that
they wished they had more standardization in the clinical
practice during their residency.

**Clinical practice guidelines adherence
and consequences theme**

Currently CPGs have high adherence and are recog-
nized as evidence-based recommendations, but there re-

mains a small group of physicians not adhering to them.
The data gathered from this small sample support this no-
tion. In this theme of minor gaps in the CPG adherence
and consequences, participants number 1, 7, and 6 de-
scribe it below as an ‘attitude’ in different interviews; this
concept has been found in the literature.\textsuperscript{10}

1: There are some physicians who, I don’t know that they
run out of time, but they just wouldn’t make time to
do that [follow CPGs]. They believe it the way they’ve
learned to practice and it’s probably more the older
physicians that are closer to retirement who’ve been
out in private practice for a long time. They have their
usual selection of what they use for first line, second
line, third line, fourth line salvage, whatever, pallia-
tion, and they will continue to use that no matter.
Whether they attend meetings and learn about new
things or not, they have their little like we used to call
cookbook recipe kind of way of treating and that’s
what they use.

Interviewer:

It’s not necessarily the time, it is more of an atti-
dude?

1: It’s an attitude. That’s exactly, yeah.

7: .... I have a number of partners I practice with...
of clinical practice guidelines non-adherence

Interviewees stated these various consequences. Overall, the interviewees reported that the consequences vary depending on the medical specialty.

Further, in large medical specialties such as cardiology and infectious disease, patient outcomes and adherence to treatment guidelines are tightly regulated as voiced by participant number seven below. This informal regulation is because in some of these specialties, good patient outcomes have been associated with use of specific drugs through large unequivocal trial data. Likewise, some specialties have more data available to handle complex patient cases. Participant number seven, who practices at six private medical offices, each composed of six or more cardiologists, and is associated with a large hospital in the Southern US expresses this point below:

7: Well, depending on your specialty, internal medicine is very complicated. You deal with the whole range of certain disease processes; disease coverages are very broad. You deal with a lot of very unusual diseases. Infectious disease is difficult. Neurology is the worst. But in cardiology, it’s very straightforward. The guidelines become very important to us. I mean, you have to follow the guidelines with systolic heart failure, and if you don’t, then you’re really outside of the standard of care. We report quality statistics to CMS [Centers for Medicare & Medicaid Services] always and do individual performance measurements on all practices.

Interviewer:
It is about reputation?

7: Yeah! Our specialty is very different that way. For acute MI [myocardial infarction] treatment, you have to get arteries open in XX minutes if you have an acute ST elevation line. So those data on your compliance with the XX-minute STEMI [ST-segment elevation myocardial infarction] ... data are publicly reported via the ACC [American College of Cardiology] for the ACC database, so everybody knows that. Internally, we monitor our quality statistics. If I don’t meet that more than a benchmark, I wouldn’t get payment.

Financial consequences of not following clinical practice guidelines

The participating doctors noted that if CPGs are not followed and the poor performance of a medical office is made public, third-party insurance payers may monitor compliance may not send patient referrals. Additionally, bills for patients, or bills for the complications of the first treatment, may not consequently be paid. Our participants did not mention losing the accreditation of an office yet or of a hospital, although our sample was very small. But they voiced this behavior may affect patients negatively in the long run. A few from the focus group interview describe below:
7: There are CMS [Centers for Medicare & Medicaid Services] changes in reimbursement that are really important about the newer events. You’re not allowed to have a DVT [deep venous thrombosis] in the hospital...So there are rules about DVT prophylaxis in our hospital. If you don’t write for DVT prophylaxis as per the guidelines that are recommended by the ACC...I mean, it’s probably the Orthopedic Society or the Internal Medicine Societies that talk about inpatient medicine. If you don’t follow those guidelines, the hospital administrators will call you and say you didn’t do DVT prophylaxis. We have prompts on our computer systems that say you didn’t do DVT prophylaxis.

10: They don’t pay for those complications [in my specialty] and patients suffer...

Moving forward: good adherence and acceptance of clinical practice guidelines theme

The interviews revealed multiple examples of good adherence to CPGs in different medical specialties. The data describe an improvement in the content of and adherence to CPGs from the time the participants were residents over time suggesting a trend and desires in the field of medicine to good quality of care. The participants reiterated that following the CPGs felt like following the best choice as opposed to a mandatory policy.

Also, there was agreement that they have seen an increased adherence to CPGs over the years, which coincided with overall better patient outcomes. Participants liked the methodology by which CPGs are developed. Participant number four describes the CPGs as an improvement in raising the bar in providing equal high-quality treatment for patients once doctors accept the CPGs:

4: There was a lot of resistance, but I think gradual acceptance, especially when they’re proven to provide good outcomes and lower cost, I think that’s when physicians finally got it, and when they realized that they were stakeholders of the process. It wasn’t a system where someone is dictating to them/us what they’re going to do...

Furthermore, both participants number four and three explain that the guidelines can streamline medicine in view of the many treatments available and many specialists seeing the same patient. Having the guidelines available directly in EMRs allows one to easily access what is best for the patient right away and to decide if changes to treatment are needed and when.

4: I think having everything computerized and in the electronic medical record provides all that information to all the patient’s treatment physicians...so that they can see exactly what the patient is going through, what they’ve had done. It streamlines care.

3: I think it’s a definite improvement, compared to how we did things 20 years ago. The easier it is to access these things, to collaborate, and get everyone on the same page working together to make the specialty shine, it’s important. I think more effort should be made to do this and I think that’s the case.

Sound methodology for developing and updating clinical practice guidelines

The advancement of information technology has facilitated the use of CPGs. Most hospitals now have CPGs incorporated as part of the EMRs. In the past 15 to 20 years, our participants saw improvements in both the availability and accessibility of CPGs. With regards to the sound methodology of CPGs, a current practicing physician who is also part of the development committee for CPGs, describes the benefits of the process:

3: The procedures...are orchestrated by national and international professional organizations...There are committees formed that go over these things and they enlist participation of recognized clinical experts in a given field. Those who have published on a given topic about a given procedure...they put together guidelines and algorithms that are based on peer-reviewed research with comments on quality of existing research and, also grey areas that often require additional clinical judgment.

While there may be some opposition to adopting guidelines due to fear of losing the ability to use judgement and experience, proponents argue that having evidence-based guidelines will offer an authoritative source of information that can empower clinicians, particularly those who do not get the opportunity to discuss their cases with colleagues and experts. This current view was shared in those interviewed in this study.

Exceptions to when not to follow clinical practice guidelines

We found that doctors agree that there are exceptions when it is acceptable not to follow CPGs, especially when patients’ safety is concerned. Physicians are trained to make clinical judgments that will provide the best outcome for the patient. Clinical judgments that would be in opposition to the guidelines were a valid reason given by all interviewed, and perhaps described the best by participant number one:

1: These are guideline recommendations and that’s exactly what they are, guidelines to help us make a decision, but they may or may not necessarily be the right combination, for instance, chemotherapy for your particular tumor because, say, in colon cancer, we recommend oxaliplatin in...but oxaliplatin can make neuropathy from diabetes worse. We may, in that case, not want to give oxaliplatin depending on the patient, the status of their diabetes.
Participants described sometimes that the reason for not following CPGs is an attitude or behavior. CPGs are not followed sometimes because some doctors pride themselves in seniority and they do not believe they need to make changes, particularly if junior physicians are creating the guidelines.

4: Physicians pride themselves on the more years of practice, the more experience you have. You become a better doctor. I mean, just the more things you see.

Additionally, the participants believe that doctors should still have flexibility and be allowed to exercise their clinical judgment. Doctors do not like to be penalized when they cannot adhere to CPGs because of patients’ comorbidities. This experience was described as follows:

5: Patients don’t always fit. Physicians can have patients that clearly will not be able to tolerate what is recommended in clinical practice guidelines and that’s an example when it’s not appropriate to really stick with a guideline…patient comorbidities are a common example of when it’s appropriate to deviate from guidelines.

Discussion

Based on participants’ accounts, we were able to understand participants’ perspectives on CPGs from their time as residents through to their socialization into the medical community. While CPGs are evidence-based recommendations with high adherence, some physicians still do not follow them. There are a few significant reasons to explain when and why CPGs are not followed. All the participants agreed that it is acceptable for a physician to deviate from the CPGs when patients have comorbidities that are not detailed in the guidelines. This finding of patients’ care was one of many situations also described in the literature.13

Further, participants in this study indicated that the most significant reason for non-adherence was patient comorbidities. Participants shared this explanation unanimously. Other factors or barriers described by physicians in both this study and the literature included physician seniority and experience in the field.14,15 A physician with many years of practice may not see a personal need to consult CPGs. In addition, some doctors do not know how to utilize certain technologies as mentioned by some participants. Many physicians were trained in a time where textbook and journals were the main source of education.

The emergence of the internet and devices that promote the spread of medical information has had a dramatic impact on the medical community, and not all physicians are keeping up. These important findings can be further studied in research with larger sample sizes and by focusing on each medical specialty. By understanding what these barriers are, we may find ways to eliminate them. For example, future CPGs and updates of current guidelines may benefit from discussing how patients’ comorbidities can influence adequate treatment for patients. CPGs can be designed to allow flexibility in practice when comorbidities are present. This way physicians do not have to worry about reimbursement and being labeled as non-adherent.

CPGs are updated regularly with experts from the field who apply minimal bias and base their recommendations on the best research available.1,16,17 When the participants were asked what they would change with regards to the methodology of CPG development, they did not have recommendations. Because doctors like the methodology by which CPGs are developed and updated, it has led to the acceptance of and adherence to CPGs. This satisfaction, unanimous among our participants, was not always reflected in the literature.18,20

Our findings are from a small sample size, and our participants expressed that they knew colleagues who were dissatisfied by the methodology of CPG development. Some participants mentioned that they knew of colleagues who did not follow CPGs as documented also in the literature.9 This was because they did not agree with the tools used in developing CPGs or did not agree with the guidelines themselves.

Our sample of 10 doctors, while diverse in specialties, is smaller than those in other published data. This diversity allowed a widespread representation and fuller understanding across medical fields. Through this deep journey of the doctors interviewed in a qualitative research setting, understanding can be derived to make sustained improvement to healthcare, and offer quality of care to patients. Consequently, unique insights from conversations as found in this qualitative research study can be examined later in larger studies, in what influences their decisions to adhere consistently, or not adhere, to CPGs.

Conclusions

We recommend that CPGs should not only be evaluated on sound methodology, but should also address the barriers that cause non-adherence and perhaps reimbursement. One recommendation for adherence and sustainability of CPGs is to include sections that deal with patients’ comorbidities and introduce parameters that can be used as a decision tree for all doctors. Medical membership associations and hospital administrators can conduct surveys on these items to ensure a consensus, in addition to reaching out to the experts, is achieved before implementing CPGs. Secondly, it is important that doctors have a universal system of documenting in the medical chart or the EMR when they deviate from the guidelines. In this way, data trends can be shared systematically with the CPG development committees.

To increase doctors’ adherence to the guidelines, we suggest that training could be implemented to help
physicians use technology so that they can better access medical information. Tailored and structural organizational solutions can be given to change physician attitude and behavior; for example, many institutions are mandating EMR versus ledger-type patient records. With time and flexibility, we believe that practical solutions can improve adherence to CPGs so that patients being treated in any field can all be given a reliable standard of care.

References