

# Antiplatelet therapy is not a safer alternative to oral anticoagulants, even in older hospital-discharged patients with atrial fibrillation

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## Abstract

Although oral anticoagulant therapy (OAT) is recommended for patients with atrial fibrillation (AF), it is widely underused among older patients, who are frequently prescribed antiplatelet therapy (APT) instead. We assessed mortality and incidence of ischemic and hemorrhagic events according to prescription of OAT or APT in older medical in-patients with AF discharged from hospital.

Stroke and bleeding risk were evaluated using the CHA<sub>2</sub>DS<sub>2</sub>-VASC (*Congestive heart failure/left ventricular dysfunction, Hypertension, Aged ≥75 years, Diabetes Mellitus, Stroke/transient ischemic attack/systemic embolism, Vascular Disease, Aged 65-74 years, Sex Category*) and HAS-BLED (*Hypertension, Abnormal renal/liver function, Stroke, Bleeding history or predisposition, Labile international normalized ratio, Elderly, Drugs/alcohol concomitantly*) scores. Comorbidity, cognitive status and functional autonomy were assessed using standardized scales. Association of OAT and APT with overall mortality, ischemic stroke and bleeding events was evaluated through multivariate analysis and propensity score matching.

During a mean follow-up period of 11 months 384 of the 962 patients discharged (mean age 82.9±6.6 years, 59.1% female) died (39.9%), 66 had an ischemic stroke and 49 experienced a major bleeding event. Compared with APT, OAT was associated with reduced overall mortality after multivariate analysis [odds ratio (OR) 0.62, confidence interval (CI): 0.46-0.83] and after propensity score matched analysis (OR 0.65, CI: 0.52-0.82, P=0.0004), with a not significant reduced incidence of total and fatal ischemic stroke, and without increase in total, intracranial, major and fatal bleedings.

In a sample of older AF patients with poor

health status, OAT was associated with reduced mortality, without evidence of a significant increase in major or fatal bleedings.

## Introduction

Incidence and prevalence of atrial fibrillation (AF) increase with advancing age.<sup>1</sup> Although oral anticoagulant therapy (OAT) has been showed to be effective for stroke prevention in older patients with AF,<sup>2-4</sup> this therapy is widely underused particularly in the oldest-old, who should derive the greatest benefit from anticoagulant therapy.<sup>5-9</sup> AF in elderly patients is frequently diagnosed during hospital stay, and it has been demonstrated that many hospitalized older patients, who might not be optimal candidates for OAT in reason of advanced age, perceived concerns around significant bleeding risks, poor health conditions, increased comorbidities, poor functional autonomy and reduced life-expectancy, are prescribed anti-platelets therapy (APT) instead.<sup>5-13</sup> Indeed, it is a long-standing common belief among many physicians that APT, although offering less protection against cardio-embolic stroke, may represent an overall safer alternative to OAT for older patients with poor health status. As a result, recent studies demonstrate that there is a persistent attitude to prescribe APT instead of OAT in older patients with AF in several clinical settings, and that this practice is increasingly common among the oldest old.<sup>5-7,9,10,14-16</sup>

Recently, we reported that among older patients with AF discharged from an acute geriatric ward with high one-year mortality, OAT was associated with a significant reduction of overall mortality,<sup>17</sup> and very similar results we observed in a prospective study among older patients discharged from acute medical and geriatric wards, with OAT being associated with lower overall mortality and ischemic stroke incidence.<sup>9</sup> However, none of these studies specifically addressed a direct comparison between OAT and APT in these older patients. Therefore, to evaluate whether APT may represent a reasonable antithrombotic therapeutic option in AF at least among these older patients with poor health status, we assessed overall mortality and incidence of ischemic and hemorrhagic events, in older medical patients with AF according to the prescription at discharge of OAT or APT.

## Materials and Methods

The patients' sample for the present study was derived from the two studies, which have been cited above. The retrospective study<sup>17</sup>

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included patients ≥65 years of age discharged in the period 2010-2013 from the Acute Geriatric Ward at the Città della Salute e della Scienza - Molinette Hospital (a university teaching hospital in Torino, northern Italy) with a primary or secondary diagnosis of AF [code 427.31 of the International Classification of Diseases, Ninth Revision (ICD-9)], who were identified from the electronic discharge database. The cohort of prospective study<sup>9</sup> was identified in the period from October 2013 to August 2014 among patients aged ≥65 years with AF (code 427.31 ICD-9) discharged from the following clinical units: *Geriatría e Malattie Metaboliche dell'Osso, Medicina Interna 1U, Medicina Interna 3U, Medicina Interna 4U, Medicina Interna 6, Medicina Interna DEA (A.O.U. Città della Salute e della Scienza, Torino - Molinette), Geriatría (A.O.U.S. Luigi Gonzaga, Orbassano), and Geriatría (A.S.O. S. Croce e Carle, Cuneo).*

AF was defined paroxysmal, persistent or permanent according to current international recommendations. Individual stroke and bleeding risk were evaluated according to the CHA<sub>2</sub>DS<sub>2</sub>-VASC (*Congestive heart failure/left ventricular dysfunction, Hypertension, Aged ≥75 years, Diabetes Mellitus, Stroke/transient ischemic attack/systemic embolism, Vascular Disease, Aged 65-74 years, Sex Category*)<sup>18</sup> and

HAS-BLED (*Hypertension, Abnormal renal/liver function, Stroke, Bleeding history or predisposition, Labile international normalized ratio, Elderly, Drugs/alcohol concomitantly*)<sup>19</sup> scores, respectively.

All patients enrolled underwent a full comprehensive geriatric assessment, including indexes of comorbidity and global physical health (Charlson index),<sup>20</sup> cognitive status [short portable mental status questionnaire (SPMSQ)],<sup>21</sup> and functional autonomy (activities of daily living (ADL); instrumental activities of daily living scale (IADL)),<sup>22,23</sup> which were also included for analysis. Patients were defined not to have cognitive impairment with SPMSQ score 0-2; SPMSQ score of 3-4, 5-7 and  $\geq 8$ , identified mild, moderate and severe cognitive impairment, respectively. Patients were defined partially or totally dependent in basic daily activities with ADL score of 1-2 and  $\geq 3$ , respectively. Patients were defined dependent in instrumental daily activities with a IADL score of 9/14 or less. For each patient, creatinine at discharge was also recorded, and estimated glomerular filtration rate was calculated according to the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) formula.<sup>24</sup>

In both previous studies, antithrombotic therapy at discharge was recorded, according to the following classes: OAT only, single APT, double APT, combined double or triple anticoagulant-antiplatelet therapy, and *other*, mainly represented by low molecular weight heparin. In the present study, to address the conundrum of clinical practice (anticoagulant or aspirin?) only patients discharged with OAT or with single APT were included. Indeed, single APT (mainly aspirin and less frequently ticlopidine or clopidogrel) is the usual alternative to OAT in common clinical practice for older AF patients.

In both studies follow-up was conducted by four geriatric post-graduate students under the supervision of a senior geriatrician through telephone interview with patients or usual caregivers in patients living at home, and through review of medical charts in patients resident in long-term facilities. Death, ischemic and hemorrhagic events, and switch of antithrombotic treatment were investigated. Among patients reporting any hospitalization or suspected clinical event of interest a thorough review of clinical documentation, medical charts, in-patient hospital discharges and death certificate was performed by a senior geriatrician. Death and its causes were assessed from death certificates, patients' hospital records and information from patient's general practitioner.

Ischemic and hemorrhagic strokes, according to American Heart Association/American Stroke Association (AHA/ASA) definition<sup>25</sup> were recorded. We categorized bleedings as major or minor events. Major bleeding was defined as fatal bleeding, and/or symptomatic

bleeding in a critical area or organ, and/or bleeding causing a fall in hemoglobin level of 20 g/L (1.24 mmol/L) or more, or leading to transfusion of two or more units of whole blood or red cells, and/or bleeding causing patient's hospitalization according to current international recommendations.<sup>26</sup>

The study was conducted according to the principles of the Declaration of Helsinki Title 45, U.S. Code of Federal Regulations, Part 46, Protection of Human Subjects, Revised November 13, 2001, effective December 13, 2001, and according to the Recommendations Guiding Physicians in Biomedical research Involving Human Subjects.

## Statistical analysis

In the present study we compared main clinical outcomes, including overall mortality, ischemic and hemorrhagic stroke, fatal and non-fatal major bleeding, and minor bleedings, in patients who were discharged with a prescription for OAT and in those who were discharged on APT. Absolute and relative frequencies of dichotomous and categorical variables and mean and relative distribution of continuous variables were calculated. Associations between variables and fatal and non-fatal clinical

end-points were evaluated using analysis of variance, Chi-square and Mann-Whitney test, and then using a logistic regression model (forward stepwise method) to evaluate significant independent associations. In addition, a propensity score matching using a 1:1 nearest-neighbor-matching algorithm with a  $\pm 0.02$  caliper and no replacement (yielding 236 propensity score matched observations), was used to evaluate clinical outcomes after adjustment for significant differences in patient baseline characteristics. Matched sample comparisons were performed with McNemar Test, Paired T test and Wilcoxon test.<sup>27</sup>

## Results

The main clinical characteristics of the 962 patients studied are presented in Table 1. Mean age of patients was  $82.9 \pm 6.6$  years (with 88.7% of patients being 75 years of age or older) and 59.1% were females. Mean  $\text{CHA}_2\text{DS}_2\text{-VASC}$  and HAS-BLED scores were  $4.7 \pm 1.4$  and  $2.3 \pm 1.0$ , respectively. Cognitive impairment was observed in 49.7% of patients, and functional dependence in ADL and IADL in

**Table 1. Demographic and clinical variables, and antithrombotic therapy at discharge, in the overall sample (n=962).**

Age, years, mean $\pm$ standard deviation	82.9 $\pm$ 6.6
Age $\geq 75$ years, n (%)	853 (88.7%)
Female, n (%)	569 (59.1%)
Persistent/permanent AF, n (%)	725 (75.4%)
$\text{CHA}_2\text{DS}_2\text{-VASC}$ , mean $\pm$ standard deviation	4.7 $\pm$ 1.4
HAS-BLED, mean $\pm$ standard deviation	2.3 $\pm$ 1.0
HAS-BLED $\geq 3$ , n (%)	356 (37.0%)
Charlson comorbidity index, mean $\pm$ standard deviation	5.9 $\pm$ 2.8
Charlson $> 5$ , n (%)	567 (58.9%)
Serum creatinine, mg/dL, median (25°-75°)	1.07 (0.87-1.44)
eGFR (CKD-EPI)	53.9 $\pm$ 21.1
eGFR (CKD-EPI) $< 60$ mL/min, n (%)	587 (61.0%)
ADL dependent, n (%)	466 (48.4%)
IADL dependent, n (%)	639 (66.4%)
Cognitive impairment, n (%)	478 (49.7%)
Number of drugs at discharge, median (25°-75°)	8 (6-10)
Antithrombotic therapy at discharge:	
OAT, n (%)	520 (54.1%)
Warfarin/VKAs	487 (93.6%)
Dabigatran	19 (3.6%)
Apixaban	9 (1.7%)
Rivaroxaban	5 (0.9%)
APT, n (%)	442 (45.9%)

AF, atrial fibrillation;  $\text{CHA}_2\text{DS}_2\text{-VASC}$ , Congestive heart failure/left ventricular dysfunction, Hypertension, Aged  $> 75$  years, Diabetes Mellitus, Stroke/transient ischemic attack/systemic embolism, Vascular Disease, Aged 65-74 years, Sex Category; HAS-BLED, Hypertension, Abnormal renal/liver function, Stroke, Bleeding history or predisposition, Labile international normalized ratio, Elderly, Drugs/alcohol concomitantly; eGFR, estimated glomerular filtration rate; CKD-EPI, Chronic Kidney Disease Epidemiology Collaboration; ADL, activities of daily living; IADL, instrumental activities of daily living scale; OAT, oral anticoagulant therapy; VKAs, vitamin K antagonists; APT, anti platelet therapy.

48.4% and 66.4% of patients, respectively; the mean comorbidity index was  $5.9 \pm 2.8$ . Overall, 520 patients were discharged with OAT [93.6% receiving vitamin K antagonists (VKAs)], and 442 received APT.

During a mean follow-up period of 11 months 384 patients died, 66 had an ischemic stroke and 49 major bleedings occurred. Mortality, and ischemic and hemorrhagic events, in the overall sample and according to prescription of OAT and APT, are reported in Table 2. Patients discharged with OAT had lower incidence of overall mortality (29.8% vs 51.8%,  $P=0.0000$ ) and ischemic stroke (5.0% vs 9.0%,  $P=0.013$ ) than patients treated with APT. After multivariate analysis, OAT at discharge was associated with reduced overall mortality [odds ratio (OR) 0.62, confidence interval (CI): 0.46-0.83,  $P=0.0013$ ], whereas increasing age, loss of functional autonomy and increasing comorbidity score were associated with increased odd of death (Table 3).

Analysis of clinical events in 236 propensity score matched observations showed that OAT was associated with lower overall death rate (OR 0.65, CI: 0.52-0.82,  $P=0.0004$ ), as well as with a not significant trend to a reduced inci-

dence of total and fatal ischemic stroke, whereas fatal, intracranial, major and fatal bleedings overall were not significantly more frequent among OAT treated patients than in those receiving APT (Table 4).

## Discussion

Despite most international guidelines strongly recommend use of OAT and discourage use of aspirin for patients with AF and high cardio-embolic risk (that is a CHA<sub>2</sub>DS<sub>2</sub>-VASC score greater than 1), several contemporary studies clearly demonstrate a persistent reluctance to prescribe OAT, particularly among older and vulnerable patients, who are often treated with aspirin or other anti-platelet drugs.<sup>6,9,10</sup> To evaluate whether APT may represent a reasonable antithrombotic therapeutic option in AF at least among these older patients with poor health status, we assessed overall mortality and incidence of ischemic and hemorrhagic events, in older medical patients with AF discharged from hospital with a prescription for OAT or APT.

In this sample of patients with poor health status and high post-discharge mortality, we observed a very high incidence of ischemic stroke, with increased fatality rates. Within the intrinsic limitations of an observational cohort study, our findings show that compared with APT, OAT was associated with reduced overall mortality, without evidence of a significant increased risk of major bleedings. The reduced overall mortality associated with OAT remained significant after multivariate analysis and propensity score matching, whereas the reduction in incidence and mortality for ischemic stroke were not significant after propensity score matching. Importantly, OAT use was not associated with a significant increase in major bleeding events. Our findings of efficacy and safety of OAT appear to be in keeping with results of BAFTA study<sup>2</sup> and with a very recent paper reporting efficacy and safety of apixaban compared with aspirin among older outpatients enrolled in the AVERROES trial.<sup>28</sup> However, compared with these studies in community-dwelling older subjects, we observed a greater incidence of ischemic stroke and bleedings in our cohort of patients, as it is reasonable to expect among very elderly

**Table 2. Mortality and major clinical events at follow-up according to antithrombotic therapy at discharge.**

Clinical events	Total sample (n=962)	OAT (n=520)	APT (n=442)	Chi square	P
Overall mortality, n (%)	384 (39.9%)	155 (29.8%)	229 (51.8%)	48.225	0.00000
Total ischemic stroke, n (%)	66 (6.9%)	26 (5.0%)	40 (9.0%)	6.132	0.01327
Fatal ischemic stroke, n (%)	28 (2.9%)	10 (1.9%)	18 (4.1%)	4.115	0.0425
Ischemic events, other sites, n (%)	50 (5.2%)	25 (4.8%)	25 (5.7%)	0.349	0.55467
Hemorrhagic stroke/intracranial bleeding, n (%)	9 (0.9%)	6 (1.15%)	3 (0.68%)	0.581	0.44556
Major extra-cranial bleeding, n (%)	40 (4.1%)	25 (4.8%)	15 (3.4%)	1.198	0.27357
Major bleeding, total, n (%)	49 (5.1%)	31 (6.0%)	18 (4.1%)	1.763	0.18414
Fatal bleeding, n (%)	11 (1.1%)	6 (1.1%)	5 (1.1%)	0.001	0.9738
Minor bleeding, n (%)	56 (5.8%)	37 (7.1%)	19 (4.3%)	3.457	0.06296

OAT, oral anticoagulant therapy; APT, anti platelet therapy.

**Table 3. Variables associated with mortality and ischemic stroke (multivariate analysis). No significant associations were found for other major clinical events investigated.**

	β	SE	P	OR (CI 95%)
Overall mortality				
Age	0.07	0.01	0.0000	1.07 (1.04-1.09)
Charlson comorbidity index	0.15	0.03	0.0000	1.16 (1.10-1.22)
ADL $\geq 2$ -dependent	0.78	0.15	0.0000	2.19 (1.64-2.92)
OAT at discharge	-0.48	0.15	0.0013	0.62 (0.46-0.83)
Ischemic stroke				
Charlson comorbidity index	0.14	0.05	0.0041	1.15 (1.04-1.26)
CHAD <sub>2</sub> DS <sub>2</sub> -VASC score	0.22	0.10	0.0199	1.25 (1.04-1.51)

SE, standard error; OR, odds ratio; CI, confidence interval; ADL, activities of daily living; OAT, oral anticoagulant therapy; CHA<sub>2</sub>DS<sub>2</sub>-VASC, Congestive heart failure/left ventricular dysfunction, Hypertension, Aged  $\geq 75$  years, Diabetes Mellitus, Stroke/transient ischemic attack/systemic embolism, Vascular Disease, Aged 65-74 years, Sex Category.

hospital-discharged high-risk medical patients. Indeed, the incidence of major bleeding among OAT treated patients was also slightly higher than the bleeding rates reported in a recent national survey on anti-coagulated elderly AF outpatients.<sup>29</sup> However, the magnitude of reduction in incidence of ischemic stroke we observed among patients treated with OAT compared to APT treated patients is in keeping with previous studies,<sup>2-4</sup> and the incidence of fatal ischemic strokes was also roughly halved among patients treated with OAT.

The population we studied is important for several reasons, including the high AF prevalence, the high post-discharge mortality,<sup>30</sup> the under-representation of such patients in prior trials of OAT for AF, the common reluctance in daily clinical practice to prescribe OAT, and the scant evidence of benefit of anticoagulation in such elderly frail patients. In our view, present findings have clinical implications, potentially contributing to reduce physicians' reluctance to prescribe anticoagulants in these patients.

Some limitations of this study must be addressed. The main weakness is clearly the potential for selection bias, which is inherent

to the observational nature of the cohorts studied. Compared with patients treated with APT, those discharged with OAT are younger and have better functional and health status, conditions that are known to correlate with better survival. In order to mitigate this potential bias we firstly evaluated whether OAT or APT were independently associated with fatal and non-fatal clinical end-points (mortality and ischemic/hemorrhagic events) and, secondly, we used a propensity score matching in order to disentangle the net effect of OAT *vs* APT from other confounding variables. However, despite these precautions and the comprehensive geriatric assessment, multivariable analysis and propensity score matching might not account for other unknown or unmeasured influential variables. As in other observational studies a second limitation is that, despite most of patients were still on prescribed anticoagulant treatment at the follow up interview or at the censored event, we could not evaluate therapeutic adherence and time in therapeutic range in patients receiving warfarin. However, in our view this limitation does not diminish the external validity of present findings, which aim to represent the effect of OAT among older

real-world patients rather than in the more comfortable setting of randomized trial with strictly monitored patients. Finally, as stated, direct oral anticoagulants were not available in our country until 2013; therefore only few patients received a direct oral anticoagulant (33 patients), most of patients being treated with VKAs (487 patients). However, results did not change when analysis was limited to patients treated with VKAs. Current and future observational studies involving older patients treated with new direct oral anticoagulants (which are associated with a reduced intracranial bleeding risk) may therefore further move the benefit balance in favor of OAT among these frail older medical patients with AF.

Some strengths of this study should also be considered. The enrollment of patients in several medical and geriatric wards should reassure about the possibility of generalization of our findings. Secondly, due to the high proportion of older patients (roughly 90% of patients in the present study were aged 75 year or older) in the sample studied, our findings might shed some light on the often-neglected world of AF older patients in medical and geriatric units. Thirdly, patients' evaluation

**Table 4. Demographic and clinical variables, mortality and major clinical events, before and after propensity score matching by treatment groups (oral anticoagulant and anti platelet therapy).**

Baseline clinical variables	Before propensity score matching			After propensity score matching		
	OAT (n=520)	APT (n=442)	P	OAT (n=236)	APT (n=236)	P
Age, mean±standard deviation	81.3±6.04	84.7±6.74	0.0000	83.4±6.0	83.8±6.6	0.414
Female, n (%)	307 (59.0%)	262 (59.3%)	0.9404	133 (56.4%)	135 (57.2%)	0.9279
Persistent/permanent AF, n (%)	430 (82.7%)	295 (66.7%)	0.0000	171 (72.5%)	169 (71.6%)	0.9195
Serum creatinine, mg/dL, mean±standard deviation	1.25±0.62	1.24±0.60	0.9148	1.26±0.57	1.24±0.61	0.745
CHA <sub>2</sub> DS <sub>2</sub> -VASC score, mean±standard deviation	4.71±1.30	4.81±1.42	0.2564	4.75±1.34	4.74±1.46	0.897
HAS-BLED score, mean±standard deviation	2.08±0.98	2.49±1.06	0.0000	2.41±1.09	2.31±0.97	0.308
Charlson comorbidity index, mean±standard deviation	5.35±2.77	6.49±2.64	0.0000	6.16±2.78	6.29±2.56	0.588
ADL dependent, n (%)	203 (39.0%)	263 (59.5%)	0.0000	126 (53.4%)	124 (52.5%)	0.9195
IADL dependent, n (%)	318 (61.2%)	321 (72.6%)	0.0002	160 (67.8%)	164 (69.5%)	0.1023
Cognitive impairment, n (%)	222 (42.7%)	256 (57.9%)	0.0000	128 (54.2%)	125 (53.0%)	0.8481
Number of drugs, mean±standard deviation	8.22±2.75	8.13±2.87	0.6062	8.08±2.70	8.08±2.99	1.000
Overall mortality, n (%)	155 (29.8%)	229 (51.8%)	0.00000	77 (33.0%)	118 (50.0%)	0.0004
Total ischemic stroke, n (%)	26 (5.0%)	40 (9.0%)	0.01327	16 (6.8%)	23 (9.7%)	0.3239
Fatal ischemic stroke, n (%)	10 (1.9%)	18 (4.1%)	0.0425	6 (2.5%)	13 (5.5%)	0.1687
Ischemic events, other sites, n (%)	25 (4.8%)	25 (5.7%)	0.55467	12 (5.1%)	13 (5.5%)	1.0000
Hemorrhagic stroke/intracranial bleeding, n (%)	6 (1.15%)	3 (0.68%)	0.44556	5 (2.1%)	1 (0.4%)	0.2188
Major extra-cranial bleeding, n (%)	25 (4.8%)	15 (3.4%)	0.27357	13 (5.5%)	9 (3.8%)	0.4807
Major bleeding, total, n (%)	31 (6.0%)	18 (4.1%)	0.18414	18 (7.6%)	10 (4.2%)	0.1338
Fatal bleeding, n (%)	6 (1.1%)	5 (1.1%)	0.9738	4 (1.7%)	3 (1.3%)	1.0000
Minor bleeding, n (%)	37 (7.1%)	19 (4.3%)	0.06296	15 (6.4%)	12 (5.1%)	0.7003

OAT, oral anticoagulant therapy; APT, anti platelet therapy; AF, atrial fibrillation; CHA<sub>2</sub>DS<sub>2</sub>-VASC, Congestive heart failure/left ventricular dysfunction, Hypertension, Aged >=75 years, Diabetes Mellitus, Stroke/transient ischemic attack/systemic embolism, Vascular Disease, Aged 65-74 years, Sex Category; HAS-BLED, Hypertension, Abnormal renal/liver function, Stroke, Bleeding history or predisposition, Labile international normalized ratio, Elderly, Drugs/alcohol concomitantly; ADL, activities of daily living; IADL, instrumental activities of daily living scale.

through the comprehensive geriatric assessment (including evaluation of comorbidity, functional and cognitive impairment, which are strong predictors of poor outcomes in elderly patients) reinforces the reliability of our findings and attenuates potential selection bias due to the higher use of OAT among *healthier* patients.

## Conclusions

Within the intrinsic limitations of an observational study, we observed an overall survival benefit of OAT over APT among older AF medical patients discharged from hospital, without increased risk of fatal and major bleedings.

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